SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<u>GEISLER JAMES E</u>	2. Date of Event Requiring Statement (Month/Day/Year) 02/03/2004		3. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX]				
(Last) (First) (Middle) UNITED TECHNOLOGIES CORPORATION			4. Relationship of Reporting Perso (Check all applicable) Director	10% Owne	er (Mor	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 	
ONE FINANCIAL PLAZA			X Officer (give title below) Vice President, F	Other (spe below) inance	6. In Appl		
(Street) HARTFORD CT 06101							y More than One
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ((Instr. 5)	m: Direct (D) (Instr. 5) ndirect (I)		Beneficial Ownership
Common Stock			1,126.9991	I	By S	avings Plan Trustee	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securities Underlying Derivative Security (Inst		4. Conversion or Exercise	e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiratior Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	01/02/2001	01/01/2008	3 Common Stock	1,000	36.5625	D	
Non-Qualified Stock Option (right to buy)	01/02/2001	01/01/2008	3 Common Stock	2,000	36.5625	D	
Non-Qualified Stock Option (right to buy)	01/04/2002	01/03/2009	Common Stock	7,000	54	D	
Non-Qualified Stock Option (right to buy)	01/03/2003	01/02/2010	Common Stock	8,200	62.5	D	
Non-Qualified Stock Option (right to buy)	01/02/2004	01/01/2011	Common Stock	7,600	75.25	D	
Non-Qualified Stock Option (right to buy)	04/26/2004	04/25/2011	Common Stock	50,000	77	D	
Non-Qualified Stock Option (right to buy)	01/02/2005	01/01/2012	2 Common Stock	12,800	64.34	D	
Non-Qualified Stock Option (right to buy)	01/02/2006	01/01/2013	3 Common Stock	15,000	63.41	D	
Non-Qualified Stock Option (right to buy)	01/09/2007	01/08/2014	Common Stock	10,700	93.52	D	

Explanation of Responses:

<u>By: /s/ Charles F. Hildebrand</u> <u>as Attorney-in-Fact</u>

02/09/2004

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.