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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 OR 15(d) of the  
Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported):** January 16, 2023

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**RAYTHEON TECHNOLOGIES CORPORATION**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State of Incorporation)

**001-00812**  
(Commission  
File Number)

**06-0570975**  
(IRS Employer  
Identification Number)

**1000 Wilson Blvd., Arlington, Virginia 22209**

(Address of principal executive offices, including zip code)

**(781) 522-3000**

(Registrant's telephone number, including area code)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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Securities registered pursuant to Section 12(b) of the Act:

| <u>Title of each class</u>                         | <u>Trading Symbol(s)</u> | <u>Name of each exchange on which registered</u> |
|--|--------------------------|--|
| Common Stock (\$1 par value)<br>(CUSIP 75513E 101) | RTX                      | New York Stock Exchange                          |
| 2.150% Notes due 2030<br>(CUSIP 75513E AB7)        | RTX 30                   | New York Stock Exchange                          |

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**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

**(d) Election of Director.**

The Board of Directors of Raytheon Technologies Corporation (the “Company”) elected Leanne G. Caret as an independent director effective January 16, 2023, with a term expiring at the 2023 Annual Meeting of Shareowners. The Board also appointed Ms. Caret to the Board’s Audit and Special Activities Committees. A copy of the Company’s press release issued on January 16, 2023 regarding Ms. Caret’s election is filed as Exhibit 99.1 to this Form 8-K and is hereby incorporated herein by reference.

The election of Ms. Caret was not pursuant to any arrangement or understanding between Ms. Caret and any third party. As of the date of this report, neither Ms. Caret, nor any of her immediate family members, is a party, either directly or indirectly, to any transaction that is required to be reported pursuant to Item 404(a) of Regulation S-K.

Ms. Caret will be compensated consistent with the Company’s compensation programs for non-employee directors.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

| <u>Exhibit Number</u> | <u>Exhibit Description</u>  |
|-----------------------|---|
| 99.1                  | <a href="#">Press Release dated January 16, 2023.</a>   |
| 104                   | Cover Page Interactive Data File - the cover page XBRL tags are embedded within the Inline XBRL document. |

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**RAYTHEON TECHNOLOGIES CORPORATION**  
**(Registrant)**

Date: January 16, 2023

By: /s/ RAMSARAN MAHARAJH

Ramsaran Maharajh

Executive Vice President & General Counsel



**Media Contact**  
C: 202.384.2474

**Investor Contact**  
C: 781.522.5123

## **Raytheon Technologies Appoints Leanne G. Caret to Board of Directors**

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ARLINGTON, Va. (Jan. 16, 2023) – Raytheon Technologies (NYSE: RTX) today announced that its board of directors has elected Leanne G. Caret as a director. Caret is an experienced aerospace and defense industry leader, most recently serving as executive vice president and senior advisor for The Boeing Company.

“Leanne Caret is one of the most accomplished leaders in our industry,” said Raytheon Technologies Chief Executive Officer and Chairman Greg Hayes. “With a focus on rapid deployment of highly complex systems, she has driven development of groundbreaking technology solutions throughout her tenure. Raytheon Technologies and our shareholders will benefit tremendously from her customer- and people-first leadership philosophy.”

Prior to her current role, Caret served as executive vice president of The Boeing Company and president and chief executive officer of Boeing Defense, Space & Security, which provides solutions for defense, government, space, intelligence and security customers. Caret previously held roles as president of Boeing’s Global Services & Support organization; Defense, Space & Security chief financial officer; vice president and general manager of Vertical Lift; vice president of H-47 Programs; and general manager of Global Transport & Executive Systems.

Caret has a Bachelor of Science in business administration from Kansas State University and a Master of Business Administration from Wichita State University.

Fortune magazine named Caret to its Most Powerful Women list in 2021 for the fifth consecutive year. In addition to being a 2019 inductee of the Women in Aviation International Pioneer Hall of Fame, Caret is a Royal Aeronautical Society fellow and an American Institute of Aeronautics and Astronautics associate fellow. She is also on the George W. Bush Institute’s Women’s Initiative Policy Advisory Council; the board of trustees for the Kansas State University Foundation; the board of directors for Deere & Company; USO board of governors; and the board of directors for FIRST, a leading youth-serving nonprofit advancing STEM education.

### **About Raytheon Technologies**

Raytheon Technologies Corporation is an aerospace and defense company that provides advanced systems and services for commercial, military and government customers worldwide. With four industry-leading businesses — Collins Aerospace, Pratt & Whitney, Raytheon Intelligence & Space and Raytheon Missiles & Defense — the company delivers solutions that push the boundaries in avionics, cybersecurity, directed energy, electric propulsion, hypersonics, and quantum physics. The company, formed in 2020 through the combination of Raytheon Company and the United Technologies Corporation aerospace businesses, is headquartered in Arlington, Virginia.