

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL	
OMB Number:	3235-0104
Estimated average burden hours per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Bailey Robert J.</u>  (Last) (First) (Middle) <u>10 FARM SPRINGS ROAD</u>  (Street) <u>FARMINGTON CT 06032</u>  (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>09/14/2016</u>	3. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/ [ UTX ]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Corporate VP, Controller</u>	5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	4,031.74	I	By Savings Plan Trustee

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Restricted Stock Units RSU	01/04/2019	01/03/2026	Common Stock	1,215	(1)	D	
SRP Stock Unit	(2)	(2)	Common Stock	640.6633	(2)	D	
Stock Appreciation Right	01/02/2012	01/01/2019	Common Stock	9,000	54.95	D	
Stock Appreciation Right	01/04/2013	01/03/2020	Common Stock	7,600	71.63	D	
Stock Appreciation Right	01/03/2015	01/02/2022	Common Stock	10,800	74.66	D	
Stock Appreciation Right	01/03/2014	01/02/2021	Common Stock	8,900	78.99	D	
Stock Appreciation Right	01/02/2016	01/01/2023	Common Stock	12,000	84	D	
Stock Appreciation Right	01/04/2019	01/03/2026	Common Stock	15,200 <sup>(3)</sup>	95.57	D	
Stock Appreciation Right	01/02/2017	01/01/2024	Common Stock	8,700 <sup>(4)</sup>	112.49	D	
Stock Appreciation Right	01/02/2018	01/01/2025	Common Stock	9,900 <sup>(5)</sup>	115.04	D	

**Explanation of Responses:**

- Restricted stock units (RSUs) convert into UTC common stock on a one-for-one basis.
- Each Savings Restoration Plan ("SRP") stock unit is the economic equivalent of one share of UTC common stock. The reported SRP stock units were acquired under UTC's Savings Restoration Plan, an excess benefit plan, and are to be settled upon the reporting person's retirement or other termination of service.
- The reporting person was also awarded 1,820 performance share units (PSUs) under the UTC Long-Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's return on invested capital, earnings per share growth and total shareholder return relative to the S&P 500 index over a three-year period.
- The reporting person was also awarded 2,270 performance share units (PSUs) under the UTC Long-Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three-year time period.
- The reporting person was also awarded 2,350 performance share units (PSUs) under the UTC Long-Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three-year time period.

/s/ Ariel R. David as Attorney-in-Fact 09/21/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.